



**REPORT TO THE JOINT COMMITTEE**

**28 NOVEMBER 2018**

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**Report by:** GwE Managing Director

**Subject:** GwE Risk Register

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**1.0 Purpose of the Report**

1.1 To present the latest GwE Risk Register to the Joint Committee.

**2.0 Background**

2.1 The purpose of the risk register is to formalise the process of identifying risks and consequently taking action to mitigate the risk.

2.2 Effective management of the region's risks will enable GwE to support the region's objectives, make effective use of resources and deliver outcomes as intended.

**3.0 Considerations**

3.1 The GwE Risk Register is a live document which is kept under regular review. It is presented to the Joint Committee on an annual basis & also when new risks are identified where the Joint Committee needs to be made aware.

3.2 All of the risks have been reviewed & updated; with a total of 5 risks being updated (Risks 1, 2, 5, 7 and 17).

3.3 It is therefore suggested that the risks noted in 3.2 be amended as follows:

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Risk Number	Current Activities / Mitigation
1	Medium Term Financial Plan & VFM Policy & Framework in place. Plans were developed in conjunction with the Local Authority's Section 151 Officer. The Medium Term Financial Plan includes details regarding the financial pressure on GwE and models scenarios. Assumptions are made regarding the future financial position. A number are appointed on secondment to ensure flexibility within the service to react quickly to any changes, but this puts pressure on the budget.
2	Assumptions made regarding future funding situation. Working closely with WG to inform funding situation for next 3 years. A number of appointments made on secondment basis to ensure flexibility within the service to respond quickly to any changes, but this places pressure on the budget. Medium Term Financial Plan in place. The plan was developed in conjunction with the Section 151 Officer. The medium term financial plan includes the financial pressures on GwE and models various situations. Financial modelling work being implemented to steer decisions
5	Senior Lead & Curriculum for Wales team now in post. Portfolio leads also in place. Significant engagement activity taken place & underway. Working closely with stakeholders. All clusters of schools across the region have appointed a cluster lead for Curriculum for Wales. Training has taken place on Curriculum for Wales and change management. Team worked to develop suite of resources to support schools with audit of 4 Purposes. All planning within GwE has cross reference of the 4 purposes with Curriculum for Wales. Project Manager with regard to the Reform Agenda has been appointed, working specifically with Welsh and ALN. The Communications and Project Management Manager, in post since August 2018, assists with the Curriculum for Wales programme.
7	Finance Subgroup working with schools to reduce impact. The effect also is mitigated by the additional money for schools to develop the Curriculum over 2 years.
17	<ul style="list-style-type: none"><li>the number of schools in a statutory category has reduced to 4 secondary schools (7.4%). 2 schools have recently been removed from category following solid progress.</li></ul> Of the 4 that remain in statutory category, here has been satisfactory progress in 1 of them, with the possibility of being removed in the spring. The progress in the

	<p>other 3 schools has been limited and further significant work has to be made in order to ensure the necessary improvements.</p> <ul style="list-style-type: none"><li>• In addition to the 'statutory category' schools 8 regional secondary schools have been identified as 'high risk', that is with a high possibility of being placed in a statutory category. 2 of these schools have been informed that there will be an inspection there before Christmas therefore it is foreseen that the number will rise once again o 4 to 6 schools in statutory category. There are intense intervention and support programmes in place in these schools and the progress is monitored by the contact SIA. Where concerns are highlighted, the information is shared with LA officers through the Quality Assurance Boards.</li></ul>
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The following risk matrix has been followed in determining the residual risk status.

Risk Matrix					
Probability	Almost Certain	Low (4)	Medium (8)	High (12)	High (16)
	Likely	Low (3)	Medium (6)	Medium (9)	High (12)
	Possible	Low (2)	Low (4)	Medium (6)	Medium (8)
	Unlikely	Low (1)	Low (2)	Low (3)	Low (4)
		Low	Medium	High	Extreme
Impact					

#### 4.0 Recommendations

- 4.1 The Joint Committee is asked to review the content of the register.
- 4.2 Determine whether there are any risks that the Joint Committee wish to bring to the attention of their respective Cabinet's etc.

#### 5.0 Financial Implications

- 5.1 Effective management of risks and financial controls help to monitor costs and enable value for money.

#### 6.0 Equalities Impact

- 6.1 There are no new equalities implications arising from this report.

#### 7.0 Personnel Implications

- 7.1 There are no new personnel implications arising from this report.

#### 8.0 Consultation Undertaken

- 8.1 The GwE Senior Leadership Team and the GwE Management Board have discussed the content of the register & have amended risks as appropriate.

**9.0 Appendices**

9.1 GwE Risk Register

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**OPINION OF THE STATUTORY OFFICERS**

**Monitoring Officer:**

A risk monitoring system is an essential part of effective governance and is part of the Joint Committee's responsibilities. By considering the risk profile outlined in the table it is important that the Joint Committee gives detailed attention to the assessment and the response.

**Statutory Finance Officer:**

I believe that GwE's risk register is in standard form and includes appropriate information. Gwynedd Council's Finance Department will provide support to GwE's Managing Director regarding financial risks. Further comments on specific risks, as necessary, will be presented orally at the Joint Committee meeting on the 28<sup>th</sup> November.